

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property  
Organization  
International Bureau



10/531613



(43) International Publication Date  
29 April 2004 (29.04.2004)

PCT

(10) International Publication Number  
WO 2004/036305 A1

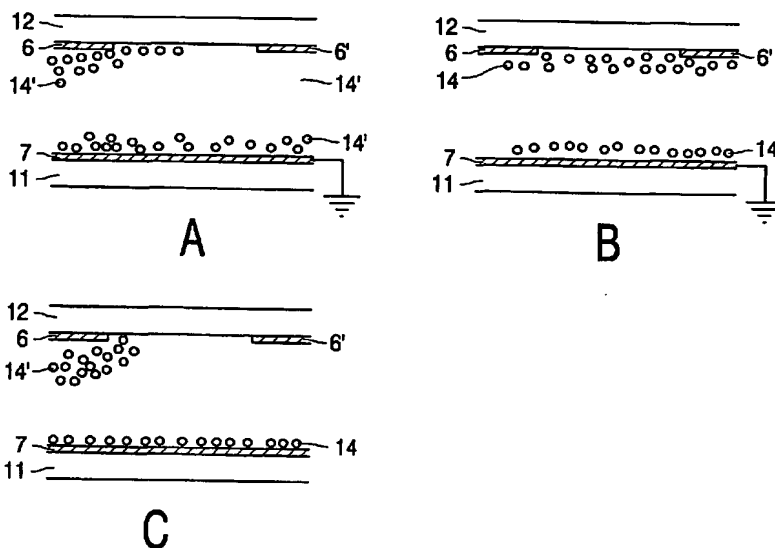
- (51) International Patent Classification<sup>7</sup>: G02F 1/167, G09G 3/34
- (21) International Application Number: PCT/IB2003/003928
- (22) International Filing Date: 12 September 2003 (12.09.2003)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 02079333.7 18 October 2002 (18.10.2002) EP
- (71) Applicant (for all designated States except US): KONINKLIJKE PHILIPS ELECTRONICS N.V. [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): JOHNSON, Mark, T. [GB/NL]; c/o Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (74) Agent: VAN DEN HOOVEN, Jan; Philips Intellectual Property & Standards, Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declaration under Rule 4.17:**

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH,

[Continued on next page]

(54) Title: ELECTROPHORETIC DISPLAY DEVICE



(57) Abstract: The invention relates to a display device for displaying information comprising a plurality of display elements with an electrophoretic medium, a first electrode (6), a second electrode (6') and a third electrode (7) associated with each display element for receiving a drive signal, and control means for supplying, in correspondence with the information to be displayed, the drive signal to the electrodes for realizing intermediate optical states. In order to omit reset pulses, which cause a temporary blanking of the display device, the electrophoretic medium of the display device comprises two groups of electrophoretic particles, one group of positively charged electrophoretic particles (14) and one group of negatively charged particles (14') and the color of the electrophoretic particles of the first group is equal to the color of the electrophoretic particles of the second group.



CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE,

DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

**Published:**

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

## ELECTROPHORETIC DISPLAY DEVICE

The invention relates to a display device for displaying successive images comprising a plurality of display elements with an electrophoretic medium.

Electrophoretic display devices are based on the motion of charged, usually color particles under the influence of an electric field between two extreme states having a different transmissivity or reflectivity. With these display devices, dark (colored) characters can be imaged on a light (colored) background, and vice versa.

Display devices of this type are used in, for example, monitors, laptop computers, personal digital assistants (PDAs), mobile telephones and electronic books.

10

A display device of the type mentioned in the opening paragraph is described in the unpublished international patent application IB02/00611. This patent application describes a electronic ink display comprising two substrates, one of which is transparent, the transparent substrate being provided with row and columns electrodes. A crossing between a row and a column electrode is associated with a display element. The display element is coupled to the column electrode via a thin film transistor (TFT), the gate of which is coupled to the row electrode. This arrangement of display elements, TFT transistors and row and column electrodes together forms an active matrix. Furthermore, the display element comprises a first electrode, a second electrode and a third electrode. A row driver may select the first electrodes of the display elements via thin-film transistors whose gate electrodes are connected to the row electrodes and whose source electrodes are connected to the column electrodes. The data signal on the first column electrode is transferred to the first electrode via the TFT coupled with the drain electrode. Furthermore, in each display element the second electrode is connected to additional drive means via an additional TFT and an additional column electrode for receiving a second data voltage for realizing the intermediate optical state. The third electrode is connected to, for example, ground by means of one or more common counter electrodes. Furthermore, an electronic ink is provided between the first electrode, the second electrode and the common electrode. The electronic ink comprises multiple microcapsules, of about 10 to 100 microns. Each microcapsule comprises positively

charged black particles suspended in a white fluid. When a positive voltage  $V$  is applied to the first electrode and the second electrode, the black particles move to the side of the microcapsule directed to the lowest potential, in this case the third electrode. In case the display device is viewed from the side of the transparent substrate comprising the first and second electrodes, the display now has the color of the fluid, in this case white. To obtain an intermediate optical state, for example, the second electrode is connected to ground, while the first electrode is connected to a negative voltage  $V^-$  and the third electrode is connected to ground. The positively charged black particles move to the lowest potential, in this case an area around the first electrode. When the display device is viewed from the side of the transparent substrate, the display element has only partly the color of the black particles and partly the color of the fluid. A gray value is thereby obtained. Intermediate optical states, gray scales, can be created in the display device by controlling the amount of particles that move to the first and second electrodes at the top of the microcapsules. For example, by controlling the electric field lines between the first electrode, the second electrode and the third electrode, more or fewer particles move towards the area between the first and second electrodes and different intermediate optical states (gray values) can be obtained.

In the known display devices it is required, in order to improve the reproducibility of the intermediate optical states, to bring the pixel to a defined state prior to selection, for example by giving a reset pulse which causes the charged particles to spread uniformly across the third electrode in advance. A disadvantage of the known display is that this reset pulse results in a temporary blanking of the screen and is incompatible with slow video reproduction.

It is an object of the invention to provide a display device of the type mentioned in the opening paragraph which does not have a temporary blanking.

To achieve this object, a first aspect of the invention provides a display device as specified in Claim 1.

The invention is based on the recognition that particles realizing the intermediate optical state of information to be displayed, should be removed from the areas between the first and the second electrode when realizing a subsequent intermediate state of the subsequent image, so that substantially no remaining particles can influence the intermediate optical state of the subsequent image.

Using two groups of particles with the same color but with a first group of positively charged particles and a second group of negatively charged particles makes it possible that when no reset pulses between subsequent images are required appropriate voltages are supplied to the electrodes. When appropriate voltages are supplied to the pixel electrodes particles of the first group move to an area between the first and the second electrode in accordance with the desired intermediate optical states and particles of the second group move to the third electrode. In a next subsequent image the voltages supplied to the electrodes can be selected such that the particles of the first group are released from the area between the first and the second electrode and the particles of the second group move to the area between the first and the second electrode in accordance with the intermediate optical states of the second subsequent image.

A particular embodiment of the invention is specified in claim 2 by alternating the groups of charged particles forming the intermediate optical state, reset pulses are not required anymore, so temporarily blanking the display is substantially eliminated.

Further advantageous embodiments of the invention are specified in the further dependent claims.

In an embodiment as specified in claim 3 reproducibility can be further improved by supplying a preset signal before the drive signal. In this embodiment the electrophoretic particles are released from the electrodes before they are directed to a new position according to the subsequent drive pulse.

Preferably, the preset pulse is of opposite polarity to the polarity of the pulse of the drive signal.

In an embodiment of claim 5 a preset signal consisting of an even number of preset pulses of opposite polarity can be generated for minimizing the DC component and the visibility of the preset pulses of the display device. Two preset pulses, one with positive polarity and one with negative polarity will minimize the power dissipation of the display device within this mode of operation.

In an embodiment as specified in claim 7 the particles are present in a fluid between the substrates. Alternatively, it is possible that the particles are present in a microcapsule.

In an embodiment as specified in claim 8 the surface of the substrate comprising the third electrode is provided with hydrofobic, for example a layer of tetrafluorethylene. This provides a slippery layer so that the particles attracted to this substrate by the potential of the third electrode are distributed more homogeneously.

These and other aspects of the invention are apparent from and will be elucidated with reference to the embodiments described hereinafter.

5 In the drawings:

Fig.1 shows diagrammatically a portion of a display device,

Fig.2 shows a pixel of an electrophoretic display device in which different gray values or intermediate optical state have been realized,

10 Fig.3 shows electrical field lines in a pixel of an electrophoretic display device,

Fig. 4 shows 4 prepulses preceding a data signal for supply to a pixel electrode of an electrophoretic display device and

Fig. 5 shows a pixel of an electrophoretic display device provided with micro capsules comprising charged electrophoretic particles.

15

The Figures are schematic and not drawn to scale, and, in general, like reference numerals refer to like parts.

20 Fig. 1 diagrammatically shows an electrical equivalent of a part of display device 1 to which the invention is applicable. It comprises a matrix of pixels 10 in the area of crossings of row or selection electrodes 7 and two column or data electrodes 6,6'. The row electrodes are consecutively selected by means of a row driver 4, while the column electrodes 1,1' to n, n' are provided with data via a data register 5. To this end, the incoming data 2 are first processed, if necessary, in a processor 11. Mutual synchronization between the row driver 4 and the data register 5 takes place via drive lines 8.

25 Drive signal from the row driver selects the picture electrodes via thin-film transistors (TFTs) 9,9' whose gate are electrically connected to the row electrodes 7 and whose source electrodes 21 are electrically connected to the column electrodes 6,6' respectively. The signal at the first column driver 6 is transferred to the first picture electrode 30 via the TFT 9. The signal at the second column driver 6' is transferred to the second picture electrode via the TFT 9'. The third picture electrode if the pixel 10 is connected to ground, for example, by means of one or more counter electrodes. In the example of Fig.1 such TFTs 9, 9' are shown diagrammatically for only one pixel 10.

Fig.2A shows a pixel comprising a first substrate 11, for example a glass or a synthetic material, provided with the third electrode 7, a second, transparent substrate 12 provided with a first electrode 6. The pixel is filled with an electrophoretic medium, for example, a white fluid containing positively charged black particles 14 and negatively charged black particles 14'. The second, transparent substrate further comprises a second electrode 6' so as to realize intermediate optical states via electric voltages across the second electrode 6'. The voltage across this third switching electrode 6' influences the switching behavior between the two optical states.

For example, in Fig.2A, a first optical state is created. Thereto, the third electrode 7 is connected to ground, while one of the first and second electrodes 6 is connected to a first voltage  $+V1$  and the other one of the first and the second electrode 6' is connected to a second voltage  $V2$ , whereby  $V1 > V2 > 0$ , the black positively charged particles 14 move towards the electrode at the lowest potential, in this case, the third switching electrode 7. The black negatively charged particles 14' move towards the electrode at the highest potential in this case the first electrode 6 and are present in the area directly under the first electrode 6. Viewed from the viewing direction 15, the pixel now has substantially the color of the fluid 13, except for the portion near the first electrode 6, which pixel color is near-white in this example. An extreme optical state is realized with the example in Fig.2B. In Fig. 2B the third electrode 7 is connected to ground, while both the first and second electrodes 6,6' are connected to a voltage  $-V$ . The positively charged, black particles 14 move towards the lowest potential, in this case towards the potential plane defined between the first and second electrodes 6,6' parallel to and alongside of the first substrate 12 and the negatively charged black particles 14' move towards the highest potential in this case towards the potential plane defined by the third electrode 7. Viewed from the viewing direction 15, the pixel now has the color of the black particles 14. A similar optical state arises in case the first and second electrodes 6,6' are connected to a voltage  $+V$  and the third electrode 7 is connected to ground. The negatively charged black particles 14' move towards the highest potential, in this case to the potential plane parallel and alongside of the first substrate 12 and the positively charged black particles 14 move towards the lowest potential, in this case towards the potential plane defined by the third electrode 7. When viewed from the viewing direction 15, the pixel also has the color of the black particles.

In Fig.2C, in order to create intermediate optical states the first electrode 6 is connected to a first voltage  $+V1$ , the second electrode 6' is connected to a third voltage  $+V3$  whereby  $V1 > V3 > 0$  and  $V3 < V2$ , and the third electrode 7 is connected to ground. The

positively charged black particles 14 move towards the lowest potential, in this case an area around the third electrode 7. The negatively charged black particles 14' move towards an area around the first electrode 6. Viewed from the direction 15, the pixel has now partly the color of the black particles and partly the color of the white fluid. A gray hue is thereby obtained. By variation of the magnitude of the voltage  $V_3$  supplied to the second electrodes 6' different gray shades can be obtained. Fig 3 shows potential lines for 4 possible combinations of voltages across the electrodes 6,6' and 7 and arrows 16 diagrammatically showing the forces exerted on positively charged particles. In this way a first image having a first gray hue can be displayed on the display. A consecutive second image having a second gray hue is displayed by connecting the first electrode 6 to a negative first voltage  $-V_1$  and the second electrode 6' to a negative voltage  $-V_3$ , whereby  $V_1 < V_3 < 0$ , while the third electrode 7 remains connected to ground. In this case the positively charged particles 14 move towards the lowest potential, in this case an area near the first electrode 6. The negatively charged particles 14' move towards an area near the third electrode 7, a new gray hue is thus obtained. The amount of black particles 14 present under the substrate 12, and thus the gray hue, depends on the magnitude of the voltages  $V_1$ ,  $V_3$  on the first and second electrodes 6,6'. A next consecutive image is displayed by connecting the first electrode 6 again to a positive voltage  $+V_1$  and the second electrode 6' again to a positive voltage  $+V_3$ . Each further consecutive image is displayed by alternating the polarity of the voltages  $V_1$ ,  $V_3$  supplied to the first and second electrodes 6,6' respectively, with respect to the third electrode 7. An advantage of this display compared to conventional electrophoretic displays is that a consecutive image can be displayed without applying a reset pulse which releases all particles 14,14' from the first substrate 12. In this way a temporary blanking of the display screen is prevented.

Preferably, before each new image a small number of prepulses are supplied to the first and second electrode 6,6' preceding the data pulses  $V_1, V_3$  in order to improve the response time. Fig 4 shows a combination of four prepulses 40 and data pulses  $V_n, V_{n+1}, V_{n+2}, V_{n+3}$  supplied to one or both of the electrodes 6,6' of the pixel 10. The magnitude and duration of the prepulses 40 supplied to the first electrode 6 are associated with an energy sufficient for releasing the electrophoretic particles 14,14' in a first area near the first electrode 6 but too low to move them to an area near the third electrode 7. Preferably, the polarity of the last prepulse 40 is opposite to that of the voltage  $V_1, V_3$  supplied to the first and second electrodes 6,6'. Alternatively, the prepulses 40 can be supplied to the third electrode 7 before the voltages  $V_1, V_2$  are supplied to the electrode 6,6'.



The substrates 11,12 may be provided with a hydrofobic layer, for example tetrafluorethylene to prevent sticking of the charged particles 14,14' to the substrates.

Alternatively, the particles can be encapsulated in micro-capsules as described in "Micro-encapsulated Electrophoretic Materials for Electronic Paper Displays, 20<sup>th</sup> IDRC conference, pp.84-87 (2000)". The electrophoretic medium, the fluid comprising the positively charged particles 14 and the negatively charged particles 14', is now present in microcapsules 16 in a transparent substrate or binder.

Fig. 5A and 5B shows a cross-section 50 of a portion of the display device containing two micro-capsules 16, the third electrode 7 is again connected to ground, while the first and second electrodes 6,6' are connected to a voltage V1, V2 respectively. The size L of micro-capsules 16 in a lateral direction is approximately equal to the distance between the first and second electrodes 6,6'.

It will be obvious that many variations are possible within the scope of the invention without departing from the scope of the appended claims.

## CLAIMS:

1. A display device for displaying information, comprising a plurality of display elements with an electrophoretic medium comprising two groups of electrophoretic particles, one group of positively charged electrophoretic particles and one group of negatively charged particles and the color of the electrophoretic particles of the first group being equal to the color of the electrophoretic particles of the second group,

a first electrode, a second electrode and a third electrode associated with each display element for receiving a drive signal, and

control means for supplying, in accordance with the information to be displayed, the drive signal to the electrodes for realizing intermediate optical states.

2. An electrophoretic display device as claimed in claim 1, wherein the information to be displayed comprises successive images and the control means is able to generate a drive signal so that the voltage difference between the first, second electrode and the third electrode respectively is alternately positive and negative in synchronism with the display of each subsequent image.

3. An electrophoretic display device as claimed in claim 1, wherein the control means are further arranged for supplying a preset signal before the drive signal comprising a preset pulse associated with energy sufficient for releasing the electrophoretic particles from a first position near one of the electrodes on the substrates but too low to reach a second position near one of the other electrodes on the substrates.

4. An electrophoretic display device as claimed in claim 3, wherein the preset signal is supplied to one of the first and second electrodes.

5. An electrophoretic display device as claimed in claim 4, wherein the control means are further arranged for generating the preset pulse with a negative or positive polarity and the control means are further arranged for generating the drive signal comprising a pulse

with a positive or negative pulse, whereby the polarity of the preset pulse is opposite to the polarity of the pulse of the drive signal.

6. An electrophoretic display device as claimed in claim 3, wherein the preset  
5 signal is supplied to the third electrode.

7. An electrophoretic display device as claimed in claim 1, wherein the  
electrophoretic medium is present between two substrates one of which comprises the first  
and the second electrode, and the other comprises the third electrode.

10

8. An electrophoretic display device as claimed in claim 7, wherein the surface of  
the substrate comprising the third electrode is provided with a hydrofobic layer.

9. An electrophoretic display device as claimed in claim 1, wherein the  
15 electrophoretic medium is present in a micro-capsule.

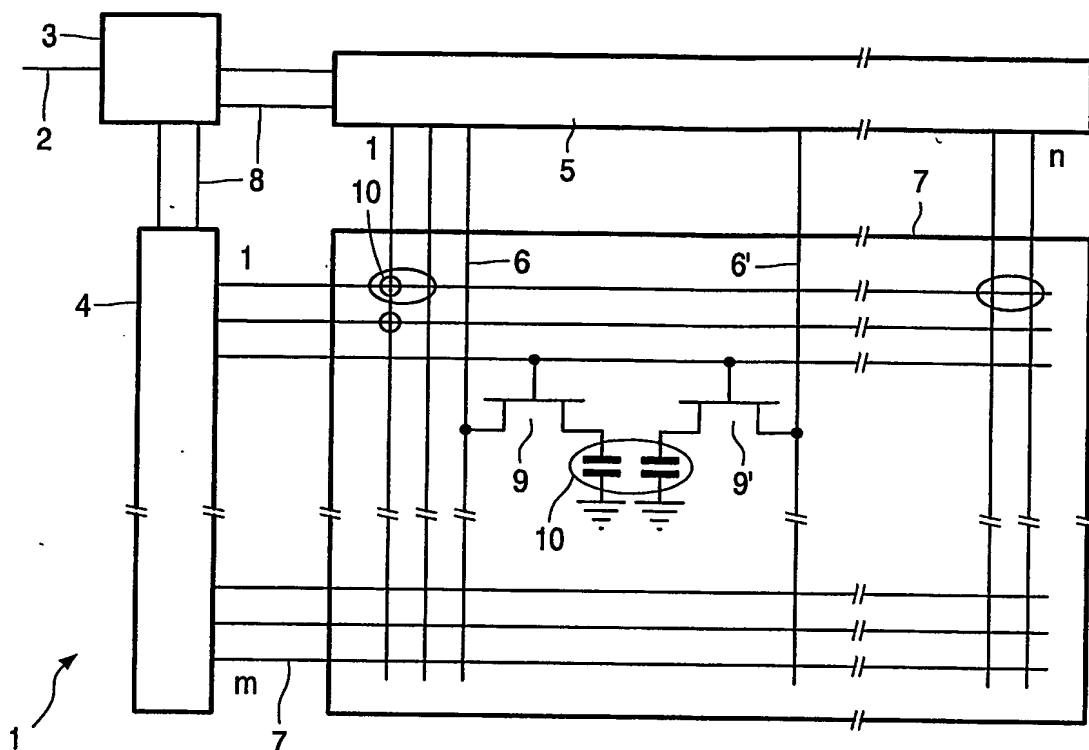


FIG. 1

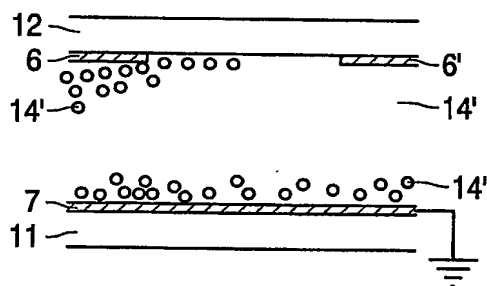
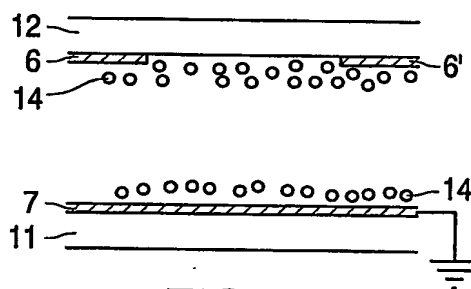
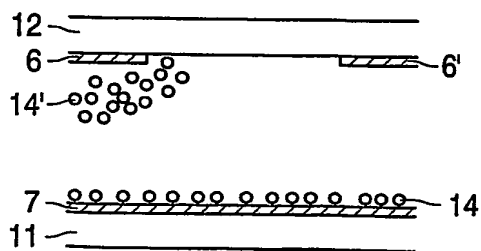


FIG. 2A



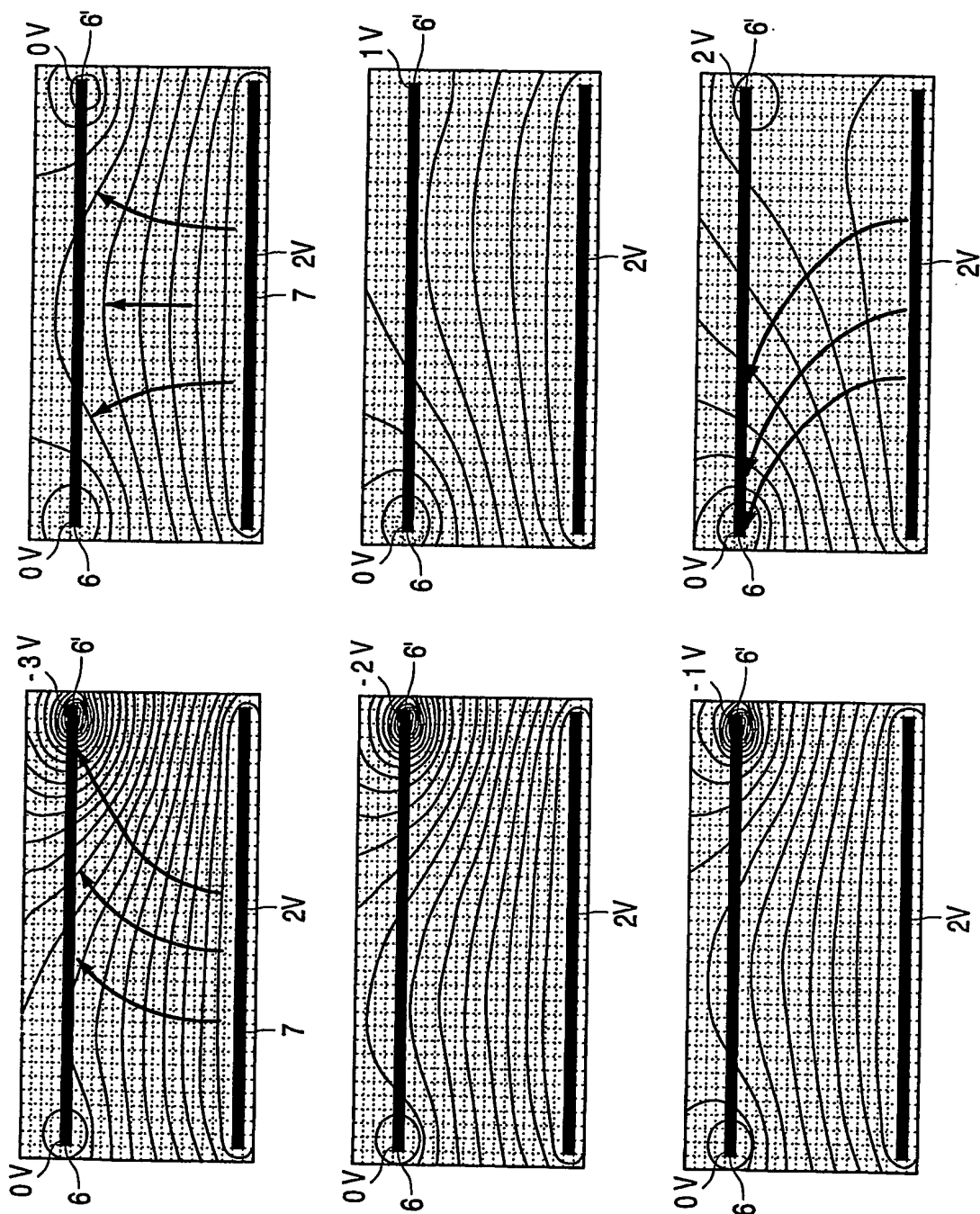
**FIG. 2B**



**FIG. 2C**

2/3

FIG. 3



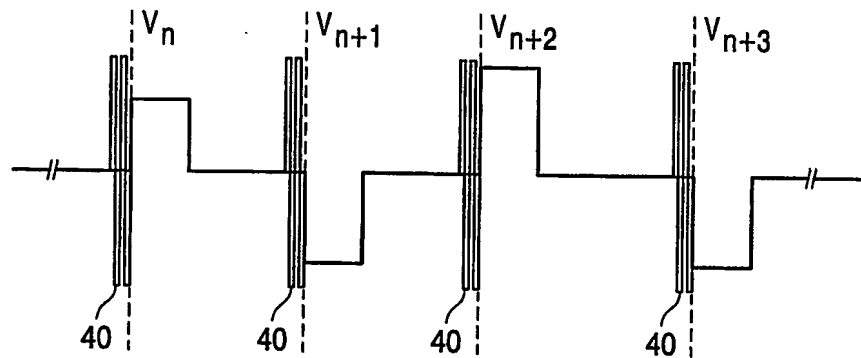


FIG. 4

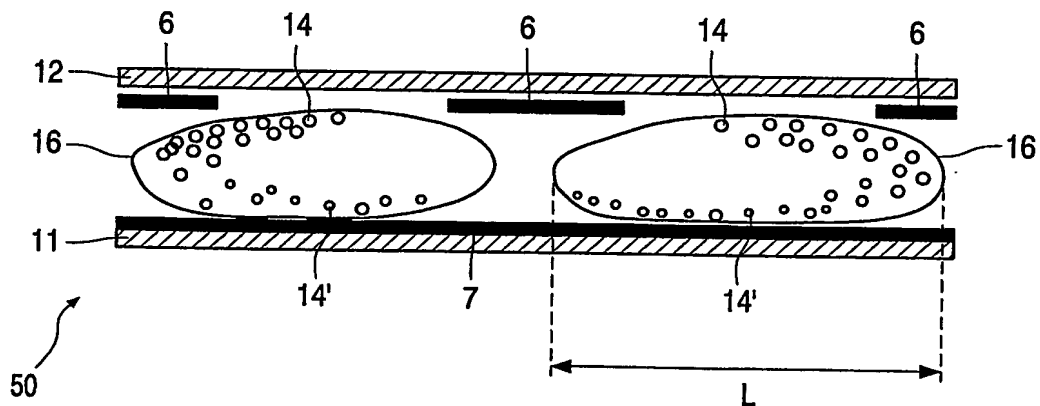


FIG. 5

## INTERNATIONAL SEARCH REPORT

Publication No  
PCT/03/03928A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 G02F1/167 G09G3/34

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 G02F G09G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 02 073304 A (KONINKL PHILIPS ELECTRONICS NV) 19 September 2002 (2002-09-19) cited in the application the whole document	1-9
A	WO 99 53373 A (E INK CORP) 21 October 1999 (1999-10-21) page 24, line 22 -page 26, line 13 figures 3F-3M	1,9
A	WO 02 079869 A (E INK CORP) 10 October 2002 (2002-10-10) page 8, line 26 -page 13, line 13 figures 1-3	1,9

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

20 November 2003

Date of mailing of the international search report

02/12/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Ammerlahn, D

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International

Application No

PCT

03/03928

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 02073304	A	19-09-2002	WO 02073304 A2	19-09-2002
WO 9953373	A	21-10-1999	AU 3487599 A	01-11-1999
			CA 2300827 A1	04-03-1999
			CA 2300830 A1	04-03-1999
			CA 2300849 A1	04-03-1999
			CA 2321131 A1	21-10-1999
			EP 1070276 A1	24-01-2001
			JP 2002511607 T	16-04-2002
			WO 9953373 A1	21-10-1999
			US 6515649 B1	04-02-2003
			US 6639578 B1	28-10-2003
			US 6262706 B1	17-07-2001
			US 6177921 B1	23-01-2001
			US 2002180688 A1	05-12-2002
			US 2003112491 A1	19-06-2003
			US 6249271 B1	19-06-2001
			US 6535197 B1	18-03-2003
WO 02079869	A	10-10-2002	WO 02079869 A1	10-10-2002
			US 2002180687 A1	05-12-2002